



Form PTO 1449 US Department of Commerce Patent and Trademark Office 	ATTY DOCKET NO: P-LJ 5137	U.S. Application Serial No: 10/030,497 Confirmation No.: 2174 International Application No.: PCT/US00/18758
	APPLICANT: John C. Reed	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	International Filing Date: July 7, 2000	Priority Date: July 9, 1999 <div style="position: absolute; top: 20px; right: 20px; transform: rotate(-15deg);"> RECEIVED AUG 1 6 2000 TECH CENTER 1600-2000 </div>

U.S. PATENT DOCUMENTS

EXAM. INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
H.S.	4,968,603	11-6-90	Slamon et al.	435	6	12-31-86
	5,447,843	9-5-95	McGuire et al.	435	6	11-25-92
	5,550,019	8-27-96	John C. Reed	435	6	5-26-93
	5,641,866	6-24-97	Reed et al.	530	387.7	6-5-95
	5,665,539	9-9-97	Sano et al.	435	6	10-4-93
	5,693,465	12-2-97	Manning et al.	435	6	9-22-94
	5,862,304	1-19-99	Ravdin et al.	395	22	10-31-90
H.S.	5,882,864	3-16-99	An et al.	435	6	7-31-96

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FOREIGN PATENT DOCUMENTS


EXAM. INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)
H.S.	95/13292	5/18/95	WO			
	97/07387 ✓	2/27/97	WO			
	97/48982 ✓	12/24/97	WO			
H.S.	00/14106 ✓	3/16/00	WO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

H.S.	Ballinger et al., "Identification of CHIP, a Novel Tetratricopeptide Repeat-Containing Protein That Interacts with Heat Shock Proteins and Negatively Regulates Chaperone Functions," <u>Mol. Cell Biology</u> , 19:4535-4545 (1999).
H.S.	Elledge et al., "bcl-2, p53, and Response to Tamoxifen in Estrogen Receptor-Positive Metastatic Breast Cancer: A Southwest Oncology Group Study," <u>J. Clin. Oncol.</u> , 15:1916-1922 (1997).

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H.S.	✓	Fisher et al., "Significance of ipsilateral breast tumor recurrence after lumpectomy," <u>Lancet</u> , 338:327-331 (1991).
	✓	Höfheld and Jentsch, "GrpE-like regulation of the Hsc70 chaperone by the anti-apoptotic protein BAG-1," <u>EMBO J.</u> , 16:6209-6216 (1997).
	✓	King et al., "Comparison of Immunocytochemical and Steroid-binding Assays for Estrogen Receptor in Human Breast Tumors," <u>Cancer Res.</u> , 45:293-304 (1985).
	✓	Krajewski et al., "Prognostic significance of apoptosis regulators in breast cancer," <u>Endocrine Related Cancer</u> , 6:29-40 (1999).
	✓	Markman, M., <u>Basic Cancer Medicine</u> , W.B. Saunders Co., Philadelphia, Pennsylvania (1997).
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	✓	Takaoka et al., "Anti-cell death activity promotes pulmonary metastasis of melanoma cells," <u>Oncogene</u> , 14:2971-2977 (1997).
H.S.	✓	Takayama et al., "BAG-1 modulates the chaperone activity of Hsp70/Hsc70," <u>EMBO J.</u> , 16:4887-4896 (1997).


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<i>Henry Sang</i>	10/21/05

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H.S.	✓	Takayama et al., "Expression and Location of Hsp70/Hsc-Binding Anti-Apoptotic Protein BAG-1 and Its Variants in Normal Tissues and Tumor Cell Lines," <u>Cancer Res.</u> , 58:3116-3131 (1998).
	✓	Takayama et al., "An Evolutionarily Conserved Family of Hsp70/Hsc70 Molecular Chaperone Regulators," <u>J. Biol. Chem.</u> , 274:781-786 (1999).
	✓	Tang et al., "Expression of BAG-1 in Invasive Breast Carcinomas," <u>J. Clin. Oncol.</u> , 17:1710-1719 (1999).
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	✓	Yang et al., "Differential Expression of Antiapoptotic Gene BAG-1 in Human Breast Normal and Cancer Cell Lines and Tissues," <u>Clinical Cancer Research</u> , 5:1816-1822 (1999).
	✓	Yang et al., "Enhanced Expression of Anti-Apoptotic Proteins in Human Papillomavirus-Immortalized and Cigarette Smoke Condensate-Transformed Human Endocervical cells: Correlation With Resistance to Apoptosis Induced by DNA Damage," <u>Mol. Carcinog.</u> , 22:95-101 (1998).
H.S.	✓	Yawata et al., "Prolonged cell survival enhances peritoneal dissemination of gastric cancer cells," <u>Oncogene</u> , 16:2681-2686 (1998).

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H.S.	Zapata et al., "Expression of multiple apoptosis-regulatory genes in human breast cancer cell lines and primary tumors," <u>Breast Cancer Research and Treatment</u> , 47:129-140 (1998).
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